

# NYSE Floor Sales Trader Position

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Rosenblatt Securities is looking for a Sales Trader to join its NYSE floor team to help expand its growing business. Candidates will be expected to work closely with other members of team to cover institutional accounts in a client facing capacity. Responsibilities include but are not limited to; equity trading, trade research, settlement/billing, NYSE systems setup up and support. This position requires daily attendance at the NYSE trading floor in lower Manhattan and a time commitment of a 45+ hours a week.

## **Responsibilities**

More specifically, the key responsibilities will be as follows:

1. Possessing (or obtaining in a timely fashion) the required FINRA and/or NYSE registrations: Series 19 (NYSE Brokers Exam), Series 7 (General Securities Representative Exam), Series 63 (Uniform Securities Agent State Law Exam), Series 57 (Securities Trader Exam)
2. To work closely with other members of the Rosenblatt floor team to meet each client's unique needs as they relate to trade execution and trade support.
3. To resolve and reconcile trade breaks and billing mismatches with Rosenblatt Operations.
4. To maintain an daily end of day billing recap.
5. Possessing or (obtaining in a timely fashion) the ability to navigate and use NYSE Systems (BBSS, Broker Handheld) as it relates to trading, client order routing, and risk management.
6. Possessing or (obtaining in a timely fashion) the ability to navigate and use Fidessa or another order management system.
7. Host clients and other firm guests on the NYSE trading floor during market hours.

## **Essential Skills**

The candidate should be intelligent, a great communicator, have strong analytical ability, and work well under pressure. He / She should be a creative thinker/problem solver and should be able to make sound decisions confidently, even under pressure by taking into account the risk factors involved. He / She should have a competitive spirit and a strong interest in helping team members build a growing and ever changing business.

## **Educational Qualifications**

A bachelor's degree from an accredited university in finance or business would be preferred. Previous experience in equity trading/trade support is welcome. Any and all additional certification or training will be a plus.